



Attention Investors

- a) "KYC is one time exercise while dealing in securities markets - once KYC is done through a SEBI registered intermediary (broker, DP, Mutual Fund etc.), you need not undergo the same process again when you approach another intermediary. "If you are not updated your latest income details in demat & trading a/c than update it as soon as possible. If you are not registered your KYC (as per SEBI guideline), than send us immediately KYC form along with proof of address & photo ID. For the benefit of the investors SEBI has directed Depositories to send Consolidated Account Statement (CAS) for securities held in demat form with the Depositories. However, if you do not wish to receive the CAS, you may write to your DP separately. As per SEBI Circular on Delivery Instruction slip (DIS), old DIS will not valid (issued before October,2014) from 07 Jan., 2016, Kindly contact to the DP for New DIS. DP will not responsible for any financial losses due to old DIS.
- b) Prevent Unauthorized Transactions in your demat account --> Update your Mobile Number with your Depository Participant. Receive alerts on your Registered Mobile for all debit and other important transactions in your demat account directly from NSDL/ CDSL on the same day issued in the interest of investors.
- c) Beware of fixed/guaranteed/regular returns/ capital protection schemes. Brokers or their authorized persons or any of their associates are not authorized to offer fixed/guaranteed/regular returns/ capital protection on your investment or authorized to enter into any loan agreement with you to pay interest on the funds offered by you. Please note that in case of default of a member claim for funds or securities given to the broker under any arrangement/ agreement of indicative return will not be accepted by the relevant Committee of the Exchange as per the approved norms.
- d) Do not keep funds idle with the Stock Broker. Please note that your Stock Broker has to return the credit balance lying with them, within three working days in case you have not done any transaction within last 30 calendar days. Please note that in case of default of a Member, claim for funds and securities/commodities, without any transaction on the Exchange will not be accepted by the relevant Committee of the Exchange as per the approved norms.
- e) Check the frequency of accounts settlement opted for. If you have opted for running account, please ensure that your broker settles your account and, in any case, not later than once in 90 days (or 30 days if you have opted for 30 days settlement). In case of declaration of trading member as defaulter, the claims of clients against such defaulter member would be subject to norms for eligibility of claims for compensation from IPF to the clients of the defaulter member.



These norms are available on Exchange website at following link: <https://www.nseindia.com/invest/about-defaulter-section>.

- f) Brokers are not permitted to accept transfer of securities as margin. Securities offered as margin/collateral MUST remain in the account of the client and can be pledged to the broker only by way of 'margin pledge', created in the Depository system. Clients are not permitted to place any securities with the broker or associate of the broker or authorized person of the broker for any reason. Broker can take securities belonging to clients only for settlement of securities sold by the client.
- g) Always keep your contact details viz. Mobile number/Email ID updated with the stock broker. Email and mobile number is mandatory and you must provide the same to your broker for updation in Exchange records. You must immediately take up the matter with Stock Broker/Exchange if you are not receiving the messages from Exchange/Depositories regularly.
- h) Don't ignore any emails/SMSs received from the Exchange for trades done by you. Verify the same with the Contract notes/Statement of accounts received from your broker and report discrepancy, if any, to your broker in writing immediately and if the Stock Broker does not respond, please take this up with the Exchange/Depositories forthwith.
- i) Check messages sent by Exchanges on a weekly basis regarding funds and securities balances reported by the trading member, compare it with the weekly statement of account sent by broker and immediately raise a concern to the exchange if you notice a discrepancy.
- j) Please do not transfer funds, for the purposes of trading to anyone, including an authorized person or an associate of the broker, other than a SEBI registered Stock broker."

Disclaimer:

You can also lodge your grievances with the Securities and Exchange Board of India (SEBI) at <https://scores.gov.in>. For any queries, feedback or assistance, please contact SEBI OAice on Toll Free Helpline at 1800 22 7575 / 1800 266 7575.

Investments in securities market are subject to market risk, read all the related documents carefully before investing.

Gretex Share Broking Ltd, Registered office address : Corporate Identification Number (CIN):
U65900MH2010PLC289361 | Website: www.gretexbroking.com

SEBI Registration No.INZ000166934 DP Registration No.: DP-IN304543
Company Secretary & Compliance Officer - Ms. Karishma Shah – (022) 69308514

In case of any grievances please write to investor.grievances@gretexbroking.com



(FORMERLY KNOWN AS: GRETEX SHARE BROKING PRIVATE LIMITED) A-401, Floor
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Website: www.gretexbroking.com,

Email ID: compliance@gretexbroking.com/gretexbroking@gmail.com

Contact No.: 02269308500, **CIN:**

U65900MH2010PLC289361

DP related grievance can be sent to : dp@gretexbroking.com

For issues related to cyber attacks, call us at (022) 69308515 or email us at it@gretexbroking.com

Compliance officer: Mr. Premkumar Harikrishnan, Tel: (022) 69308513 Email:

compliance@gretexbroking.com

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Filing Complaints On SCORES – Easy & Quick:

- Register On SCORES Portal
- Mandatory Details For Filing Complaints On SCORES:
 1. Name, PAN, Address, Mobile Number, E-Mail ID

- **Benefits**

1. Effective Communication
2. Speedy redressal of the grievances

BEWARE OF SPURIOUS PHONE CALLS AND FICTITIOUS /FRAUDULENT OFFERS

[Basic Details](#) [Account opening Procedure](#) [Escalation Matrix](#) [Grievance Redressal Mechanism](#) [KMP Details](#)

Prop Trading

Pursuant to the Rules and Byelaws of the BSE Ltd. and the NSE of India Ltd. and the circulars and directions issued by SEBI, we inform that Gretex Share Broking Ltd. is commencing proprietary desk for trading in Index options on the exchanges from 29/07/2010.